

Associate Professor of Law, William & Mary Law School

June 2017 - Present

- Current Courses: Business Associations, Securities Litigation, & Capital Markets Regulation (previously taught Corporate Finance)
- Fellow – Center for the Study of Law and Markets
- Faculty Enrichment Committee (2018-2019)
- Dean’s Advisory Committee (2018-2019)

LEGAL SCHOLARSHIP

Information Asymmetry and the Protection of Investors (work in progress)
https://papers.ssrn.com/sol3/papers.cfm?abstract_id=3222292

Welcoming Information Asymmetry on the Margin (work in progress)

A New Market-Based Approach to Securities Law (with M. Todd Henderson), 85 U. CHI. L. REV. 1313 (2018)

Making a Market for Corporate Disclosure (with M. Todd Henderson), 35 YALE J. REG. 2 (2018)

- Featured in WALL STREET JOURNAL op-ed on July 24, 2016: <http://www.wsj.com/articles/a-sneak-peek-at-corporate-datafor-a-fee-1469394981>

Evaluating Stock-Trading Practices and Their Regulation (with Merritt B. Fox), 42 J. CORP. L. 887 (2017)

Discrimination Platforms, 42 J. CORP. L. 809 (2017)

Information-Dissemination Law: The Regulation of How Market-Moving Information Is Revealed (with M. Todd Henderson), 101 CORNELL L. REV. 1373 (2016)

Stock-Market Law and the Accuracy of Public Companies’ Stock Prices, 2015 COLUM. BUS. L. REV. 121 (2015) (reprinted in SECURITIES LAW REVIEW)

RELATED WORK

External Reviewer for the JOURNAL OF FINANCIAL REGULATION (peer-reviewed journal published by Oxford University Press) (2018)

Securities and Exchange Public Comment Letter re New Proposal for “Managed Portfolio Shares” (filed Feb. 16, 2018) in File Number SR-NYSE-Arc-2018-04, available at <https://www.sec.gov/comments/sr-nysearca-2018-04/nysearca201804-3110867-161909.pdf>

Securities and Exchange Public Comment Letter re the trading of “Managed Portfolio Shares” (filed Dec. 15, 2017) in File Number SR-NYSEArca-2017-36, available at <https://www.sec.gov/comments/sr-nysearca-2017-36/nysearca201736-2808360-161694.pdf>

Expert Report, *Securities and Exchange Commission v. Staples et al.*, 13 Civ. 2575 (2013) (filed July 14, 2015)

SELECTED PRESENTATIONS & EVENTS ORGANIZED

- The SEC and Its Current Work (led discussions with SEC Commissioner Robert J. Jackson, Jr., at William & Mary Law School on behalf of the Center for the Study of Law and Markets) (Nov. 2018)

- Sixth Annual Corporate & Securities Litigation Workshop (*A New Market-Based Approach to Securities Law*) (Oct. 2018)
- Emroch Faculty Colloquy Series, University of Richmond School of Law (*Information Asymmetry and the Protection of Ordinary Investors*) (Sept. 2018)
- Commissioner Robert J. Jackson, Jr., and staff at United States Securities and Exchange Commission (presented series of works on the regulation of information revelation) (Mar. 2018)
- Current Issues in Securities Regulation (led discussions with SEC Commissioner Michael Piwowar at William & Mary Law School on behalf of the Center for the Study of Law and Markets) (Mar. 2018)
- Business Law Society, William & Mary Law School, “Hot Topics in Business Regulation” (November 2017)
- Journal of Corporation Law Spring 2017 Symposium: “What Happens in the Dark: An Exploration of Dark Pools and High Frequency Trading” (March 2017)
- National Business Law Scholars Conference, University of Chicago Law School (*Making a Market for Corporate Disclosure*) (June 2016)
- Provided series of lectures to staff of the Brazilian Securities and Exchange Commission (lectures focused on the mechanics, economics, and regulation of capital markets) (2015)
- Business Law Colloquium, Colorado Law, University of Colorado Boulder (*Stock-Market Law*) (Apr. 2015)
- Junior Faculty Business and Financial Law Workshop, George Washington University Law School Center for Law, Economics, and Finance (*Stock-Market Law*) (selected as one of ten blindly reviewed works to be presented at junior-faculty workshop) (Feb. 2015)
- Looking into 2015: The SEC’s Mission and Its Challenges (led discussions with SEC Commissioner Michael Piwowar at University of South Carolina Law School) (Jan. 2015)
- Current Issues in Securities Law: The “Hot” Topics (presented on panel relating to Dark Pools and High-Frequency Trading at Professor John Coffee’s annual conference at Columbia University) (Nov. 2014)
- Advanced Securities Regulation class, Columbia Law School (*The Mechanics, Economics, and Regulation of the American Stock Market*) (Feb. 2014)
- Faculty Workshop, The University of Chicago Law School (*Stock-Market Law*) (Jan. 2014)
- Blue Sky Faculty Workshop, Columbia Law School and Columbia Business School (*Stock-Market Law*) (Jan. 2014)
- Faculty Workshop, The Wharton School (Legal Studies Department) (*Stock-Market Law*) (Jan. 2014)
- Faculty Workshop, Peking University School of Transnational Law (*Stock-Market Law*) (Dec. 2013)
- Capital Markets Regulation class, Columbia Law School and Columbia Business School (*Stock-Market Law*) (Nov. 2013)

EXPERT ENGAGEMENTS AND PAID RESEARCH

- Retained as expert consulting and testifying witness on behalf of the Office of the Federal Public Defender – Eastern District of Virginia (defense of criminal action in federal court) (2017)
- Received funding from public investment-management company (Eaton Vance Management) to conduct securities-law research relating to NYSE Arca proposal for the trading of “Managed Portfolio Shares” (2017)
- Retained as expert consulting and testifying witness on behalf of the United States Securities and Exchange Commission in *SEC v. Staples* (enforcement action in federal court) (2015 – 2016)

- Provided series of lectures to staff of the Brazilian Securities and Exchange Commission (lectures focused on the mechanics, economics, and regulation of capital markets) (2015)
- Provided expert consulting to assist the New York State Attorney General's Office in a confidential securities-law matter (2012)

PREVIOUS ACADEMIC POSITIONS

Assistant Professor of Law, University of South Carolina Law School July 2014 – May 2017

- Courses: Business Associations, Securities Litig., Corp. Finance, & Capital Markets Reg.
- Recipient of Best Teacher Award for 2014-2015 Academic Year

Fellow with the Columbia Law School and Columbia Business School Program on the Law and Economics of Capital Markets Jan. 2012 - June 2014

- Studied securities regulation (under Merritt Fox) as well as the mechanics and economics of financial-instrument markets (under Lawrence Glisten)
- Visiting Lecturer – Capital Markets Regulation w/ Fox and Glisten (Fall 2013)

JUDICIAL CLERKSHIPS

Law Clerk to Judge Victor Marrero, United States District Court for the Southern District of New York Sept. 2009 - Nov. 2010

Foreign Law Clerk to Chief Justice Aharon Barak, Supreme Court of Israel Aug. 2005 - Apr. 2006

LITIGATION PRACTICE

Associate, Friedman, Kaplan, Seiler, Adelman LLP (with principal focus on securities litigation) May 2007 - Aug. 2009

Associate, Simpson, Thacher & Bartlett LLP May 2006 - Apr. 2007

EDUCATION

Columbia Law School, Juris Doctor (2005)

Georgetown University, College of Arts and Sciences, Bachelor of Arts (1999)

*Admitted to Practice in New York State (2006) (currently inactive)